

# Journal Pre-proof



Farm Animal Exposure, Respiratory Illnesses, and Nasal Cell Gene Expression

Joshua Brownell, MD, Kristine E. Lee, MS, Deborah Chasman, PhD, Ronald Gangnon, PhD, Casper G. Bendixsen, PhD, Katherine Barnes, MS MPH, Kristine Grindle, BS, Tressa Pappas, BS, Yury A. Bochkov, PhD, Amy Dresen, BS, Christine Hou, BS, David B. Haslam, MD, Christine M. Seroogy, MD, Irene M. Ong, PhD, James E. Gern, MD

PII: S0091-6749(24)00122-2

DOI: <https://doi.org/10.1016/j.jaci.2024.01.019>

Reference: YMAI 16257

To appear in: *Journal of Allergy and Clinical Immunology*

Received Date: 16 May 2023

Revised Date: 19 January 2024

Accepted Date: 26 January 2024

Please cite this article as: Brownell J, Lee KE, Chasman D, Gangnon R, Bendixsen CG, Barnes K, Grindle K, Pappas T, Bochkov YA, Dresen A, Hou C, Haslam DB, Seroogy CM, Ong IM, Gern JE, Farm Animal Exposure, Respiratory Illnesses, and Nasal Cell Gene Expression, *Journal of Allergy and Clinical Immunology* (2024), doi: <https://doi.org/10.1016/j.jaci.2024.01.019>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2024 Published by Elsevier Inc. on behalf of the American Academy of Allergy, Asthma & Immunology.

**Farm Animal Exposure, Respiratory Illnesses, and Nasal Cell Gene Expression**

Joshua Brownell MD<sup>a</sup>, Kristine E. Lee MS<sup>b</sup>, Deborah Chasman PhD<sup>b,c</sup>, Ronald Gangnon PhD<sup>b</sup>,  
Casper G. Bendixsen PhD<sup>d</sup>, Katherine Barnes MS MPH<sup>d</sup>, Kristine Grindle BS<sup>a</sup>, Tressa Pappas  
BS<sup>a</sup>, Yury A. Bochkov PhD<sup>a</sup>, Amy Dresen BS<sup>a</sup>, Christine Hou BS<sup>c</sup>, David B. Haslam MD<sup>e</sup>,  
Christine M. Seroogy MD<sup>a</sup>, Irene M. Ong PhD<sup>b,c</sup>, and James E. Gern MD<sup>a</sup>

From: <sup>a</sup>the Department of Pediatrics, <sup>b</sup>Department of Biostatistics and Medical Informatics,  
<sup>c</sup>Department of Obstetrics and Gynecology, and <sup>d</sup>Department of Statistics, University of  
Wisconsin-Madison, Madison WI USA; the <sup>e</sup>National Farm Medicine Center, Marshfield Clinic  
Research Foundation, Marshfield WI USA; and the <sup>f</sup>Department of Pediatrics, University of  
Cincinnati, Cincinnati, OH, USA

Corresponding author: James E. Gern MD, K4/918 CSC, 600 Highland Ave, Madison, WI  
53792-9988. Email: gern@medicine.wisc.edu, Phone: (608) 263-6201, Fax: (608) 265-2207

Funding sources: NIH grants #U19 AI104317 and UH3 OD023282; NIH/NCATS Clinical and  
Translational Science Award UL1 TR002373, KL2 TR002374 and the University of Wisconsin--  
Madison Department of Obstetrics and Gynecology to IMO.

Author disclosures: J.E.G. is a paid consultant for AstraZeneca, Via Nova Therapeutics Inc, and  
Meissa Vaccines Inc. and has stock options in Meissa Vaccines Inc. All other authors have no  
conflicts of interest to disclose.

Word counts

Main text: 3851

Abstract: 245

24 **Abstract**

25 **Background:** Farm exposures in early life reduce the risks for childhood allergic diseases and  
26 asthma. There is less information about how farm exposures relate to respiratory illnesses and  
27 mucosal immune development.

28 **Objective:** We hypothesized that children raised in farm environments have a lower incidence  
29 of respiratory illnesses over the first two years of life than non-farm children. We also analyzed  
30 whether farm exposures or respiratory illnesses were related to patterns of nasal cell gene  
31 expression.

32 **Methods:** The Wisconsin Infant Study Cohort included farm (n=156) and non-farm (n=155)  
33 families with children followed to age 2 years. Parents reported prenatal farm and other  
34 environmental exposures. Illness frequency and severity were assessed using illness diaries  
35 and periodic surveys. Nasopharyngeal cell gene expression in a subset of 64 children at age  
36 two years was compared to farm exposure and respiratory illness history.

37 **Results:** Farm vs. non-farm children had nominally lower rates of respiratory illnesses (rate ratio  
38 0.82 [0.69,0.97]) with a stepwise reduction in illness rates in children exposed to 0, 1, or  $\geq 2$   
39 animal species, but these trends were non-significant in a multivariable model. Farm exposures  
40 and preceding respiratory illnesses were positively related to nasal cell gene signatures for  
41 mononuclear cells and innate and antimicrobial responses.

42 **Conclusions:** Maternal and infant exposure to farms and farm animals was associated with  
43 nonsignificant trends for reduced respiratory illnesses. Nasal cell gene expression in a subset of  
44 children suggests that farm exposures and respiratory illnesses in early life are associated with  
45 distinct patterns of mucosal immune expression.

46

47 **Key Messages**

- 48       • Prenatal and early life farm animal exposures were related to trends for a reduced  
49 incidence of respiratory illnesses.
- 50       • Both farm exposures and respiratory illnesses were related to increased innate and  
51 antiviral gene expression in the nasal mucosa.

52

53 **Capsule summary**

54 Prenatal and early postnatal exposure to farm animals was associated with trends for reduced  
55 respiratory illnesses and enhanced nasal cell expression of gene networks related to innate  
56 responses.

57 **Key words**

58 Farm, respiratory illness, virus, gene expression, nasal epithelial cells, children

59 **Abbreviations**

60 WISC, Wisconsin Infant Study Cohort

**61 Introduction**

62 Environmental exposures in early life greatly influence the risk of developing allergic diseases  
63 and asthma. For example, children growing up on dairy farms have a reduced risk of developing  
64 atopic dermatitis, allergic sensitization, and childhood asthma.<sup>1-5</sup> Further, allergic diseases are  
65 uncommon among children in families with traditional agrarian cultures such as the Amish or  
66 Old Order Mennonites.<sup>6, 7</sup> There is some evidence that farm exposures are associated with  
67 reduced respiratory illness frequency and severity.<sup>8</sup> In Central European traditional farms,  
68 consumption of raw farm milk has been associated with reduced respiratory illnesses during  
69 infancy.<sup>9</sup> A cross-sectional survey in Wisconsin also linked early-life farm exposures to reduced  
70 rates of severe respiratory illnesses in early childhood.<sup>10</sup>

71 The protective effects of farming are related to specific exposures, such as diverse  
72 environmental microbes, the interaction of mothers or children with multiple animal species, time  
73 spent in animal sheds or barns, and consumption of unprocessed cow milk.<sup>8, 11, 12</sup> These  
74 exposures are associated with changes in immune development measured in peripheral blood  
75 cells, including enhanced responses to LPS and maturation of tolerance mechanisms, which  
76 may protect against allergic diseases.<sup>13, 14</sup> The development of RNA sequencing technologies  
77 has enabled analysis of upper airway cellular responses to identify patterns of gene expression  
78 that relate to respiratory outcomes, including allergy, asthma, and respiratory illnesses.<sup>15, 16</sup>

79 The Wisconsin Infant Study Cohort (WISC) is a case-control birth cohort study comparing  
80 children with dairy farm exposure to children from small towns or rural areas in the same region  
81 of Central Wisconsin.<sup>17</sup> We prospectively monitored respiratory illnesses through age 2 years  
82 and obtained nasal epithelial samples in a subset of children to evaluate relationships between  
83 farm exposure, respiratory illnesses, and patterns of nasal cell gene expression. We  
84 hypothesized that young children with farm exposures in early life have lower rates of  
85 respiratory illnesses and wheezing. In addition, we tested whether farm exposures are

86 associated with increased expression of nasal epithelial cell genes that prime antipathogen  
87 responses and whether gene expression patterns relate to respiratory illness frequency and  
88 severity.

89

## 90 **Methods**

### 91 **Population and cohort design**

92 All families provided written informed consent before study enrollment, and the Marshfield Clinic  
93 Health System Human Subjects Institutional Review Board approved the study protocol. WISC  
94 recruited two groups of children in rural Wisconsin. A farm family was defined as those who  
95 either reside within 1/8th mile of a farm or work on a farm or have a household member who  
96 works on a farm. On the other hand, non-farm mothers are those who do not live within 1/8th  
97 mile of a farm or have any personal or household connection to farm work.<sup>17</sup> Pregnant mothers  
98 were recruited during their prenatal care within the Marshfield Clinic Health System, which  
99 serves a population in central Wisconsin living in rural areas or small towns. Study visits were  
100 conducted prenatally and every three months following birth with periodic questionnaire  
101 administration to assess farming demographics and medical history (Supplemental Table 1).  
102 Biospecimen collection included nasal mucus swabs at surveillance visits conducted every three  
103 months and during family-reported illnesses and nasopharyngeal swabs at two years of age for  
104 transcriptomics.

### 105 **Farm Exposures**

106 At the prenatal, 2-month, and 9-month visits, families completed questionnaires to assess the  
107 mother's and child's exposure to farm animals and forage. The amounts of time spent in various  
108 activities such as milking and cleaning were asked for specific animals, with separate questions  
109 for child and maternal exposures. In a previous study of farm exposures and atopic dermatitis

110 (AD), we performed a cluster analysis and found that AD incidence was inversely related to  
111 exposure to more animals.<sup>5</sup> The current analysis used that idea to model the exposure  
112 classification. For this analysis, we calculated a farm exposure score representing the number  
113 of animal species the family (either maternal or child) were exposed to "regularly" (at least  
114 weekly). Some farm families had minimal farm animal exposure for mother and child and thus  
115 had an exposure score of 0, as did nearly all non-farm children. Farm families in our study with  
116 a score of 1 were exposed to cows only due to the prevalence of dairy farms in central  
117 Wisconsin. The families with a score of 2+ also have frequent exposure to at least one other  
118 farm animal (goats, pigs, chickens, horses, sheep).

### 119 **Illness assessment**

120 At each quarterly visit, parents were asked to report the number of colds, illnesses with a cough,  
121 and illnesses with wheezing since their last visit. We used the maximum report of the number of  
122 colds or the number of illnesses with a cough to represent the number of respiratory illnesses  
123 their child experienced since the last visit. Nasal swabs were collected at each quarterly visit.

124 We asked parents to keep illness diaries with each respiratory illness and record the severity of  
125 individual symptoms ("cold," "cough," and "wheeze") on a scale from 0-3 for each day the child  
126 was ill.<sup>18, 19</sup> We summed the scores for each symptom for the day, adding an additional point for  
127 fever. Illness burden was defined as the sum of daily scores over the entire course of the illness  
128 (area under the curve). Families were asked to provide a nasal swab for viral PCR on day 2 of  
129 each acute illness, with swabs repeated every two weeks for the duration of the illness. In  
130 addition, coordinators collected nasal swabs during scheduled visits (ages 2, 6, 9, 12, 18, and  
131 24 mo) to estimate the frequency of viral infections

132 **Viral diagnostics.** Nasal mucus samples were tested for all common respiratory viruses using  
133 multiplex PCR (NxTAG® Respiratory Pathogen Panel [Luminex, Austin TX], SARS-CoV-2

134 added after the pandemic). Pan-rhinovirus RT-PCR and partial sequencing identified rhinovirus  
135 species and types.<sup>20</sup>

136 **Nasal transcriptomics.** Coordinators obtained nasal cells and secretions by inserting a swab  
137 (#516CS01, Copan Inc., Murrieta, CA) into the nasopharynx, rotating it three times, and then  
138 immediately immersing it in a cell lysis buffer (RLT buffer, Qiagen, Ann Arbor MI) to preserve  
139 the RNA. RNA processing and sequencing is described in the online supplement.

140 Of the 100 samples submitted for sequencing, we removed samples that failed QC checks or  
141 did not have corresponding respiratory illness data (see Online Data Supplement). Sixty-four  
142 samples (22 farm, 42 non-farm) were included in the analyses of differential gene expression.

143 **Statistical analysis (see online supplement for additional details)**

144 The main outcome for illness analyses was the self-reported number of illnesses reported at  
145 each study visit. Secondary outcomes included illness burden from illness diaries measured  
146 over the first two years of age and virus detection. SAS (SAS Institute Inc., Cary, NC), version  
147 9.4, was used for all illness analyses.

148 The number of illnesses reported from the questionnaires (roughly every 3 months) was  
149 analyzed as longitudinal data (multiple responses from each child) using mixed effects models,  
150 and subject was included as a random effect. The mixed model appropriately accounts for  
151 missing data, allowing us to include children that had yet to reach the age of a two-year visit.

152 The main predictor(s) were farm status and the number of animal exposures, with adjustment  
153 for age. The potential interaction between farm status (or farm exposure) and time was  
154 evaluated and not significant, so a common effects model was applied. In additional models, we  
155 evaluated the impact of other covariates including sex, day care, older sibs, smoke exposure,  
156 breastfeeding, delivery mode, season of report, and timing relative to the pandemic (defined as

157 information collected beginning April 2020). In models examining the impact of other covariates,  
158 each covariate was considered alone as added to the baseline model.

159 Illness burden (secondary outcome) was obtained by summing daily diary scores over all  
160 illnesses within a period of time of interest to have a single data point for each child and  
161 analyzed using standard regression/ANOVA approaches. We looked at birth through age 1 as  
162 well as birth through age 2. Since not all children have information through the first two years,  
163 we only included diary data for children who have completed their the age 1- or 2-year visit.

164 Virus detection (secondary outcome) was analyzed for all samples obtained for individuals up  
165 through their age 2 visit. Viral detection frequency rates was analyzed by generalized mixed  
166 models with a logit link and subject as the random effect.

167 To identify genes that varied with farm status or the number of respiratory illnesses in the first  
168 two years of life, we performed differential expression analysis using the R package DESeq2.<sup>21</sup>  
169 Next, we applied Gene Set Enrichment Analysis<sup>22</sup> to compare the gene expression trends for  
170 farm status and high respiratory illness count. The number of respiratory illnesses was totaled  
171 over each visit within a specific age period (through age 1, through age 2) in order to have a  
172 single summary measure for each child. Children with incomplete visits (typically because have  
173 not yet reached that age) within these time frames were dropped from the respective analyses.  
174 We obtained two signed, ranked lists of genes from the DESeq2 results: one list ranking genes  
175 for farm status (farm vs. non-farm) and one for high respiratory illness count (highest tertile vs.  
176 all others).

177 We employed WGCNA (Weighted Gene Correlation Network Analysis)<sup>23</sup> to identify co-  
178 expressed gene modules in the transcriptomics data. To determine whether any modules were  
179 associated with farm status or respiratory illness frequency, we quantified the eigengenes for  
180 each module and then analyzed for differential expression. To interpret the gene modules, we

181 used tested for significant overlap with gene sets from three databases: nasal cell type  
182 signatures,<sup>24</sup> Hallmark gene sets,<sup>25</sup> and gene networks from nasal cells of children with and  
183 without asthma.<sup>26</sup>

## 184 **Results**

185 **Study population.** The WISC study enrolled a total of 311 patients from farm (n=156) and non-  
186 farm (n=155) families (Table 1). There was a higher proportion of males in the farm group than  
187 in the non-farm group. Farm children had higher rates of prenatal exposure to raw milk. Non-  
188 farm children had higher daycare attendance in the first year of life and had higher rates of  
189 prenatal maternal smoking exposure.

190 **Respiratory illnesses.** The farm and non-farm children had similar age-related patterns of  
191 respiratory diseases, with lower rates in the first few months and a peak at around nine months  
192 of age. Through two years of age, the farm group participants reported lower respiratory illness  
193 rates than the non-farm group (rate ratio 0.82 [0.69,0.97],  $p=0.020$ , Fig 1A). There was no  
194 significant interaction with age. On average, the 3-month illness rate was 0.65 in farm children  
195 and 0.79 in non-farm children. The two groups had similar rates of reported wheezing illnesses  
196 through the first two years of life (rate ratio 0.84 [0.43,1.65], Figure 2).

197 While adjusting for individual covariates had relatively minor effects on the results, the illness  
198 rates were no longer significant after adjusting for daycare (RR 0.86 [0.73, 1.01],  $p=0.07$ , Fig  
199 1B). Illness rates were significantly lower during the peak of the SARS-CoV-2 pandemic (April  
200 2020 - April 2021, rate ratio 0.52 [0.45, 0.59],  $p<.001$ , Supplemental Figure 1). Most of the  
201 children had completed the age-two visit before the pandemic, so among the data used for  
202 these analyses, only 10% of the visits were during the pandemic period. Adjusting for illnesses  
203 reported during the pandemic also attenuated the association with any respiratory illness (RR  
204 0.86 [0.73,1.01],  $p=0.07$ , Fig 1B).

205 We next analyzed relationships between farm status and respiratory illness rates in a  
206 multivariable model that included sex and variables that were associated with illness rates  
207 (Table 2). In model 1, the main effect persisted after adjusting for seasonal effects and the  
208 pandemic. In model 2, the relationship between farm status and respiratory illness rates was no  
209 longer significant (RR = 0.89,  $p = 0.157$ ) after adjustment for these variables plus daycare,  
210 breastfeeding, and sex.

211 **Farm exposures and respiratory illnesses.** Based on a previous analysis of atopic  
212 dermatitis,<sup>5</sup> we hypothesized that regular farm animal exposure would be a marker for the  
213 degree of farm exposure in the first two years of life. We grouped all mothers and children into  
214 having 0, 1, or 2+ animal species exposures at least weekly. The number of unique animal  
215 exposures was inversely related to illness frequency in the first two years of life. Exposure to at  
216 least two unique species (cattle plus at least 1 other) was associated with a significantly  
217 reduced illness frequency compared to no farm animal exposure (Table 2). In the multivariate  
218 model, this association persisted after adjustment for season and pandemic ( $p = 0.027$ ) but not  
219 in the fully adjusted model ( $p = 0.101$ ).

220 **Farm status and illness burden.** We next tested whether the illnesses reported by the farm vs.  
221 non-farm children differed in severity, as assessed from symptom diaries taken by participants  
222 during acute illnesses. The families submitted diaries for a subset (33% of illnesses in each  
223 group) of the illnesses reported on the quarterly calls. The illness burdens across illnesses  
224 were similar for the first year (RR 0.81 [0.38, 1.73]) and the first two years of life (RR 0.97 [0.48,  
225 1.95]) for the farm and non-farm groups (Table 3)

226 **Viral detection.** Virus detection was higher during symptomatic illnesses compared to the  
227 scheduled periods (90% vs. 38%). The farm and non-farm groups had a similar number of  
228 positive tests and distribution of respiratory virus types detected during routine study visits and  
229 also during symptomatic illnesses (Supplemental Figure 2).

230 **Nasal epithelial gene expression.** We conducted an exploratory analysis of differential gene  
231 expression in nasal epithelial cells from a subset of children in the farm and non-farm groups at  
232 the age two scheduled visits. Of the 100 samples that we collected, 64 (22 farm, 42 non-farm)  
233 that passed quality assessments (Supplemental Figures 3-5) and had associated respiratory  
234 illness data were selected for further analysis (Supplemental Figure 6). While no individual  
235 genes were differentially expressed in the farm vs. non-farm groups (adjusted  $p < 0.05$ ), several  
236 gene sets were (Figure 3). The farm group had increased gene signatures for monocytes and  
237 epithelial cell subsets including secretory and cycling basal cells. The farm group had increased  
238 expression of a module (“magenta”) related to several immunoregulatory gene sets, including  
239 the p53 pathway, Th2/ILC2 cells, lysosomal proteins, and antiviral/innate responses. The farm  
240 group also had increased expression of a “purple” module associated with injury response,  
241 oxidative phosphorylation, DNA repair, and mitochondrial/ribosomal function. One module  
242 (“cyan”) downregulated in the farm group was associated with mRNA processing, and  
243 intracellular vesicle and protein transport.

244 We next tested whether the number of respiratory illnesses in the first two years was associated  
245 with changes in nasal cell gene expression at age two. Frequent respiratory illnesses were  
246 related to the upregulation of 1100 genes and reduced expression of 193 genes (Supplemental  
247 Figure 7). Respiratory illnesses were associated with differential expression of cell-associated  
248 gene sets, including positive associations with secretory epithelial cell, monocyte, and T cell/NK  
249 cell-related genes and negative associations with cycling basal, deuterosomal, and multiciliated  
250 airway epithelial cell genes (Figure 4). Frequent illnesses were also associated with functional  
251 pathways, including those related to innate, antiviral responses, antimicrobial responses, and  
252 genes regulating T cell activation, Th2/ILC2, and epithelial integrity/leukocyte migration. There  
253 were negative relationships with gene sets associated with leukotriene and lipid metabolism and  
254 epithelial cell tight junctions and cilium.

255 Leading edge genes for each of the functional and cell-specific modules and their expression  
256 levels are listed in the Online Supplement (Supplemental Table 2).

## 257 **Discussion**

258 Studies worldwide have linked prenatal and early-life farming exposures to health benefits,  
259 including a lower risk of allergic diseases and asthma. Based on a prospective study in Central  
260 Europe<sup>9</sup> and a survey of children on Wisconsin dairy farms,<sup>10</sup> we hypothesized that children of  
261 dairy farm families would have lower rates of respiratory illnesses in the first two years of life. In  
262 the WISC birth cohort study comparing farming and nonfarming rural and small-town families  
263 from Central Wisconsin, we found that farm children had lower rates of respiratory illnesses over  
264 the first two years of life, although these relationships were not significant in a multivariable  
265 model after adjusting for covariates including daycare and the pandemic. When exposure to  
266 animals was considered, regular exposure of mother and children to farm animal species (cattle  
267 plus at least one other) was associated with a trend for lower rates of respiratory illnesses. This  
268 association suggests a dose-dependent relationship between the degree of farm exposure and  
269 the risk of early-life respiratory illnesses. Finally, we evaluated nasal cell gene expression in a  
270 subset of the farm and non-farm children at two years of age. Both farm status and the number  
271 of respiratory illnesses were positively associated with differential expression of genes related to  
272 cell composition and function. In general, the results of our gene expression studies suggest  
273 that both farm exposures and a history of previous infections have immunostimulatory effects on  
274 nasal mucosal immune responses.

275 Farm exposure was not significantly related to wheezing illnesses in early life. While the rate  
276 ratios for wheezing illnesses and total respiratory illnesses were similar, the number of reported  
277 wheezing illnesses was lower than expected. This study was underpowered to identify a  
278 significant relationship. Part of the study was conducted during the pandemic, which was  
279 associated with reduced respiratory and wheezing illnesses.<sup>27, 28</sup> Additionally, surveillance nasal

280 swabs were obtained at set intervals to identify whether nasal viral microbiome is associated  
281 with farm status and illness frequency. Viral populations were similar between the two groups,  
282 suggesting that farm status is related to rates of illnesses but not infections during infancy. The  
283 severity of illnesses was also similar between the two groups.

284 We found trends for lower rates of respiratory illnesses in farm children with greater exposure to  
285 animals and barns. We previously reported similar dose-related relationships between animal  
286 exposure and reduced atopic dermatitis in the WISC study.<sup>5</sup> Biodiverse animal and farm  
287 exposures are consistently associated with better allergic outcomes in European children.<sup>29, 30</sup>  
288 Additional supportive data from cross-sectional and longitudinal studies of in-home pets  
289 (especially dogs) exist.<sup>31, 32</sup> Furthermore, in disadvantaged urban settings in the USA, exposure  
290 to pets and even pests (e.g., mice and cockroaches) in early life are associated with reduced  
291 rates of wheezing and asthma.<sup>33, 34</sup> Part of the benefits of animal exposure could be due to  
292 animal-associated microbes. These microbes could stimulate innate immune sensors within the  
293 epithelium or mucosal surfaces, and some may colonize the children's skin, gut, and respiratory  
294 tract and secrete immunomodulating metabolites.

295 Notably, animal exposure could also have beneficial effects independent of the microbiome. In  
296 an urban birth cohort study, house dust levels of cockroach, mouse, and cat proteins were  
297 associated with reduced preschool wheeze, while exposure to a rich microbiome was  
298 associated with reduced allergic sensitization.<sup>33</sup> Other immunostimulatory substances of animal  
299 origin include carbohydrates and proteins. For example, N-glycolylneuraminic acid (Neu5AC) is  
300 a sialic acid derivative in animal but not human cells. Neu5AC exposure is increased in farm  
301 children and associated with reduced non-atopic wheeze.<sup>35</sup> Beta-lactoglobulin is a bovine  
302 protein in high concentrations in barn dust that can complex with zinc and other molecules and  
303 has immunomodulatory properties, including stimulation of IL-6 and IFN- $\gamma$  responses.<sup>36</sup>  
304 Interestingly, the 17q12-21 gene locus, closely related to childhood asthma risk, interacts with

305 dog, cat, and farm exposures concerning wheezing or asthma.<sup>13, 37, 38</sup> Polymorphisms in 17q12-  
306 21 associated with asthma risk regulate expression of *GSDMB* on airway epithelial cells,<sup>39</sup>  
307 suggesting that these cells, which are exposed to both viruses and airborne farm exposures,  
308 might mediate farm effects on respiratory outcomes.

309 The nasal cell gene expression results provide new information linking farm exposure to  
310 differences in mucosal immunity and epithelial gene expression in toddlers. Samples from farm  
311 children had increased signals effectors of innate immune processes including monocytes and  
312 secretory epithelial cells, which are both active in antimicrobial responses.<sup>40</sup> Gene networks  
313 associated with innate responses such as apoptosis, TNF and NFκB signalling, and Th2/ILC2  
314 responses also had increased expression. While isolated enhancement of T2 responses is  
315 associated with allergy and increased susceptibility to infection,<sup>41, 42</sup> the patterns of nasal gene  
316 expression suggest that farm exposures may generally promote immune responsiveness.  
317 Previous studies of blood cells have linked farm exposures to enhanced expression of innate  
318 sensors such as TLR and CD14 and increased LPS-induced cytokine responses.<sup>13, 43-45</sup> Similar  
319 positive relationships between animal exposure and blood cell immune responses have been  
320 noted in suburban children exposed to dogs<sup>46</sup> or urban children exposed to cockroaches, mice,  
321 and cats.<sup>47</sup> These findings suggest that biodiverse exposures could promote immune  
322 development in early childhood.

323 The frequency of respiratory illnesses in the first two years was also associated with gene  
324 expression patterns that overlapped with those associated with farm exposures. Respiratory  
325 illnesses were positively associated with the cellular signatures for monocytes and secretory  
326 cells. There were also positive associations with functional pathways, including innate immune  
327 responses, antibacterial responses, and Th2/ILC2 cells. Respiratory illnesses were inversely  
328 related to the expression of genes associated with leukotriene metabolism, which in other  
329 studies have been linked to allergic asthma and acute wheezing illnesses.<sup>15, 26</sup> Illness-

330 associated changes in epithelial cell gene expression could alter differentiation away from  
331 ciliated cells, which are the target of infection with many common viruses, and towards  
332 secretory cells, which are essential contributors to early innate response to viral infection.<sup>40</sup>  
333 These findings indicate that early viral infections could promote responsiveness to viral and  
334 bacterial pathogens, perhaps enhancing defenses against future infections.<sup>40</sup> The reduced  
335 barrier function and changes in lipid metabolism could also influence responses to subsequent  
336 infections, and additional studies are needed to identify specific consequences of these  
337 changes.

338 Our study provides new information about the relationships between farm exposures,  
339 respiratory illnesses, and mucosal immune responses. The major strength of this birth cohort is  
340 its prospective design and frequent study visits, allowing for accurate estimates of illness  
341 frequency. We also identified associations between farm status, respiratory illnesses, and  
342 patterns of nasal mucosal gene expression. While significant relationships were identified, the  
343 studies were conducted on a subset of 64 individuals, which limited the power to identify  
344 associations with individual genes. Our study also has limitations to consider. While adjusting  
345 for individual covariates had only small effects on the rate ratio for respiratory illnesses,  
346 differences in illness rates were no longer significant after adjusting for daycare and the  
347 pandemic. Our analysis was limited by a modest sample size, and replication in another  
348 population is needed to determine whether farm exposures influence illness rates during  
349 infancy. We assessed wheeze by parental report, which is more sensitive but less specific than  
350 wheezing diagnosed by a health care provider. Our study was underpowered to identify  
351 associations between farm status and wheezing outcomes and analyze how specific farm  
352 exposures influence respiratory illnesses. The WISC population is predominantly White and  
353 resides in rural areas or small towns, so findings may not be generalizable to other social and  
354 ethnic groups.

355 In conclusion, farm exposures were associated with a reduced rate of respiratory illnesses in  
356 preschool children. While these relationships were attenuated in a multivariable model, the  
357 association was strongest in children and mothers who were exposed to multiple animals. Both  
358 farm exposures and respiratory illnesses were related to increased expression of gene networks  
359 related to innate and antipathogen responses. These findings are consistent with a growing  
360 literature suggesting that broad exposures during the prenatal period and early life stimulate  
361 immune development to prime innate and adaptive responses to respond to subsequent  
362 pathogens. Respiratory illnesses are particularly problematic for young children and those with  
363 asthma. Identifying mechanisms linking animal exposures to reduce illnesses could lead to new  
364 therapeutic approaches for at-risk children.

365

366  
367  
368  
369  
370  
371  
372  
373  
374  
375  
376  
377  
378  
379  
380  
381  
382  
383  
384  
385  
386  
387  
388  
389  
390  
391  
392  
393  
394  
395  
396  
397  
398  
399  
400  
401  
402  
403  
404  
405  
406  
407  
408  
409  
410  
411  
412  
413  
414

## References

1. Ege MJ, Mayer M, Normand AC, Genuneit J, Cookson WO, Braun-Fahrlander C, et al. Exposure to environmental microorganisms and childhood asthma. *N Engl J Med* 2011; 364:701-9.
2. Deckers J, Marsland BJ, von Mutius E. Protection against allergies: Microbes, immunity, and the farming effect. *Eur J Immunol* 2021; 51:2387-98.
3. Kirjavainen PV, Karvonen AM, Adams RI, Taubel M, Roponen M, Tuoresmaki P, et al. Farm-like indoor microbiota in non-farm homes protects children from asthma development. *Nat Med* 2019; 25:1089-95.
4. Riedler J, Braun-Fahrlander C, Eder W, Schreuer M, Waser M, Maisch S, et al. Exposure to farming in early life and development of asthma and allergy: a cross-sectional survey. *Lancet* 2001; 358:1129-33.
5. Steiman CA, Evans MD, Lee KE, Lasarev MR, Gangnon RE, Olson BF, et al. Patterns of farm exposure are associated with reduced incidence of atopic dermatitis in early life. *J Allergy Clin Immunol* 2020; 146:1379-86 e6.
6. Stein MM, Hrusch CL, Gozdz J, Igartua C, Pivniouk V, Murray SE, et al. Innate Immunity and Asthma Risk in Amish and Hutterite Farm Children. *N Engl J Med* 2016; 375:411-21.
7. Seppo AE, Bu K, Jumabaeva M, Thakar J, Choudhury RA, Yonemitsu C, et al. Infant gut microbiome is enriched with *Bifidobacterium longum* ssp. *infantis* in Old Order Mennonites with traditional farming lifestyle. *Allergy* 2021; 76:3489-503.
8. Loss GJ, Depner M, Hose AJ, Genuneit J, Karvonen AM, Hyvarinen A, et al. The Early Development of Wheeze. Environmental Determinants and Genetic Susceptibility at 17q21. *Am J Respir Crit Care Med* 2016; 193:889-97.
9. Loss G, Depner M, Ulfman LH, van Neerven RJ, Hose AJ, Genuneit J, et al. Consumption of unprocessed cow's milk protects infants from common respiratory infections. *J Allergy Clin Immunol* 2015; 135:56-62.
10. Ludka-Gaulke T, Ghera P, Waring SC, Keifer M, Seroogy C, Gern JE, et al. Farm exposure in early childhood is associated with a lower risk of severe respiratory illnesses. *J Allergy Clin Immunol* 2018; 141:454-6 e4.
11. Loss GJ, Depner M, Hose AJ, Genuneit J, Karvonen AM, Hyvarinen A, et al. The Early Development of Wheeze: Environmental Determinants and Genetic Susceptibility at 17q21. *Am J Respir Crit Care Med* 2015.
12. Zanobetti A, Ryan PH, Coull B, Brokamp C, Datta S, Blossom J, et al. Childhood Asthma Incidence, Early and Persistent Wheeze, and Neighborhood Socioeconomic Factors in the ECHO/CREW Consortium. *JAMA Pediatr* 2022; 176:759-67.
13. Illi S, Depner M, Pfefferle PI, Renz H, Roduit C, Taft DH, et al. Immune Responsiveness to LPS Determines Risk of Childhood Wheeze and Asthma in 17q21 Risk Allele Carriers. *Am J Respir Crit Care Med* 2022; 205:641-50.
14. Lluís A, Depner M, Gaugler B, Saas P, Casaca VI, Raedler D, et al. Increased regulatory T-cell numbers are associated with farm milk exposure and lower atopic sensitization and asthma in childhood. *J Allergy Clin Immunol* 2014; 133:551-9.
15. Altman MC, Gill MA, Whalen E, Babineau DC, Shao B, Liu AH, et al. Transcriptome networks identify mechanisms of viral and nonviral asthma exacerbations in children. *Nat Immunol* 2019; 20:637-51.
16. Altman MC, Kattan M, O'Connor GT, Murphy RC, Whalen E, LeBeau P, et al. Associations between outdoor air pollutants and non-viral asthma exacerbations and airway inflammatory responses in children and adolescents living in urban areas in the USA: a retrospective secondary analysis. *Lancet Planet Health* 2023; 7:e33-e44.

- 415 17. Seroogy CM, VanWormer JJ, Olson BF, Evans MD, Johnson T, Cole D, et al.  
416 Respiratory health, allergies, and the farm environment: design, methods and enrollment  
417 in the observational Wisconsin Infant Study Cohort (WISC). *BMC Research Notes* 2019.
- 418 18. Kloepfer KM, Lee WM, Pappas TE, Kang TJ, Vrtis RF, Evans MD, et al. Detection of  
419 pathogenic bacteria during rhinovirus infection is associated with increased respiratory  
420 symptoms and asthma exacerbations. *J Allergy Clin Immunol* 2014; 133:1301-7 e3.
- 421 19. Kloepfer KM, Olenec JP, Lee WM, Liu G, Vrtis RF, Roberg KA, et al. Increased H1N1  
422 infection rate in children with asthma. *Am J Respir Crit Care Med* 2012; 185:1275-9.
- 423 20. Bochkov YA, Grindle K, Vang F, Evans MD, Gern JE. Improved molecular typing assay  
424 for rhinovirus species A, B, and C. *J Clin Microbiol* 2014; 52:2461-71.
- 425 21. Love MI, Huber W, Anders S. Moderated estimation of fold change and dispersion for  
426 RNA-seq data with DESeq2. *Genome Biol* 2014; 15:550.
- 427 22. Subramanian A, Tamayo P, Mootha VK, Mukherjee S, Ebert BL, Gillette MA, et al. Gene  
428 set enrichment analysis: a knowledge-based approach for interpreting genome-wide  
429 expression profiles. *Proc Natl Acad Sci U S A* 2005; 102:15545-50.
- 430 23. Langfelder P, Horvath S. WGCNA: an R package for weighted correlation network  
431 analysis. *BMC Bioinformatics* 2008; 9:559.
- 432 24. Deprez M, Zaragosi LE, Truchi M, Becavin C, Ruiz Garcia S, Arguel MJ, et al. A Single-  
433 Cell Atlas of the Human Healthy Airways. *Am J Respir Crit Care Med* 2020; 202:1636-  
434 45.
- 435 25. Liberzon A, Birger C, Thorvaldsdottir H, Ghandi M, Mesirov JP, Tamayo P. The  
436 Molecular Signatures Database (MSigDB) hallmark gene set collection. *Cell Syst* 2015;  
437 1:417-25.
- 438 26. Altman MC, Calatroni A, Ramratnam S, Jackson DJ, Presnell S, Rosasco MG, et al.  
439 Endotype of allergic asthma with airway obstruction in urban children. *J Allergy Clin  
440 Immunol* 2021; 148:1198-209.
- 441 27. Poole S, Brendish NJ, Tanner AR, Clark TW. Physical distancing in schools for SARS-  
442 CoV-2 and the resurgence of rhinovirus. *Lancet Respir Med* 2020; 8:e92-e3.
- 443 28. Perez A, Lively JY, Curns A, Weinberg GA, Halasa NB, Staat MA, et al. Respiratory  
444 Virus Surveillance Among Children with Acute Respiratory Illnesses - New Vaccine  
445 Surveillance Network, United States, 2016-2021. *MMWR Morb Mortal Wkly Rep* 2022;  
446 71:1253-9.
- 447 29. Roduit C, Wohlgensinger J, Frei R, Bitter S, Bieli C, Loeliger S, et al. Prenatal animal  
448 contact and gene expression of innate immunity receptors at birth are associated with  
449 atopic dermatitis. *J Allergy Clin Immunol* 2011; 127:179-85, 85 e1.
- 450 30. Illi S, Depner M, Genuneit J, Horak E, Loss G, Strunz-Lehner C, et al. Protection from  
451 childhood asthma and allergy in Alpine farm environments-the GABRIEL Advanced  
452 Studies. *J Allergy Clin Immunol* 2012; 129:1470-7 e6.
- 453 31. Gern JE, Reardon CL, Hoffjan S, Nicolae D, Li Z, Roberg KA, et al. Effects of dog  
454 ownership and genotype on immune development and atopy in infancy. *J Allergy Clin  
455 Immunol* 2004; 113:307-14.
- 456 32. Ownby DR, Johnson CC, Peterson EL. Exposure to dogs and cats in the first year of life  
457 and risk of allergic sensitization at 6 to 7 years of age. *JAMA* 2002; 288:963-72.
- 458 33. Lynch SV, Wood RA, Boushey H, Bacharier LB, Bloomberg GR, Kattan M, et al. Effects  
459 of early-life exposure to allergens and bacteria on recurrent wheeze and atopy in urban  
460 children. *J Allergy Clin Immunol* 2014; 134:593-601 e12.
- 461 34. O'Connor GT, Lynch SV, Bloomberg GR, Kattan M, Wood RA, Gergen PJ, et al. Early-  
462 life home environment and risk of asthma among inner-city children. *J Allergy Clin  
463 Immunol* 2018; 141:1468-75.

- 464 35. Frei R, Ferstl R, Roduit C, Ziegler M, Schiavi E, Barcik W, et al. Exposure to  
465 nonmicrobial N-glycolylneuraminic acid protects farmers' children against airway  
466 inflammation and colitis. *J Allergy Clin Immunol* 2018; 141:382-90 e7.
- 467 36. Pali-Scholl I, Bianchini R, Afify SM, Hofstetter G, Winkler S, Ahlers S, et al. Secretory  
468 protein beta-lactoglobulin in cattle stable dust may contribute to the allergy-protective  
469 farm effect. *Clin Transl Allergy* 2022; 12:e12125.
- 470 37. Schoettler N, Dissanayake E, Craven MW, Yee JS, Eliason J, Schaubberger EM, et al.  
471 New Insights Relating Gasdermin B to the Onset of Childhood Asthma. *Am J Respir Cell*  
472 *Mol Biol* 2022.
- 473 38. Tutino M, Granell R, Curtin JA, Haider S, Fontanella S, Murray CS, et al. Dog ownership  
474 in infancy is protective for persistent wheeze in 17q21 asthma-risk carriers. *J Allergy Clin*  
475 *Immunol* 2022.
- 476 39. Ober C, McKennan CG, Magnaye KM, Altman MC, Washington C, 3rd, Stanhope C, et  
477 al. Expression quantitative trait locus fine mapping of the 17q12-21 asthma locus in  
478 African American children: a genetic association and gene expression study. *Lancet*  
479 *Respir Med* 2020; 8:482-92.
- 480 40. Basnet S, Mohanty C, Bochkov YA, Brockman-Schneider RA, Kendzioriski C, Gern JE.  
481 Rhinovirus C causes heterogeneous infection and gene expression in airway epithelial  
482 cell subsets. *Mucosal Immunol* 2023; 16:386-98.
- 483 41. Contoli M, Ito K, Padovani A, Poletti D, Marku B, Edwards MR, et al. Th2 cytokines  
484 impair innate immune responses to rhinovirus in respiratory epithelial cells. *Allergy* 2015;  
485 70:910-20.
- 486 42. Esquivel A, Busse WW, Calatroni A, Togias AG, Grindle KG, Bochkov YA, et al. Effects  
487 of Omalizumab on Rhinovirus Infections, Illnesses, and Exacerbations of Asthma. *Am J*  
488 *Respir Crit Care Med* 2017; 196:985-92.
- 489 43. Lauener R, Birchler T, Adamski J, Braun-Fahrlander C, Bufe A, Herz U, et al. Expression  
490 of CD14 and Toll-like receptor 2 in farmers' and non- farmers' children. *Lancet* 2002;  
491 360:465.
- 492 44. Braun-Fahrlander C, Riedler J, Herz U, Eder W, Waser M, Grize L, et al. Environmental  
493 exposure to endotoxin and its relation to asthma in school-age children. *N Engl J Med*  
494 2002; 347:869-77.
- 495 45. Pfefferle PI, Buchele G, Blumer N, Roponen M, Ege MJ, Krauss-Etschmann S, et al.  
496 Cord blood cytokines are modulated by maternal farming activities and consumption of  
497 farm dairy products during pregnancy: The PASTURE Study. *J Allergy Clin Immunol*  
498 2009.
- 499 46. Bufford JD, Reardon CL, Li Z, Roberg KA, DaSilva D, Eggleston PA, et al. Effects of dog  
500 ownership in early childhood on immune development and atopic diseases.  
501 *Clin.Exp.Allergy* 2008; 38:1635-43.
- 502 47. Gern JE, Calatroni A, Jaffee KF, Lynn H, Dresen A, Cruikshank WW, et al. Patterns of  
503 immune development in urban preschoolers with recurrent wheeze and/or atopy. *J*  
504 *Allergy Clin Immunol* 2017; 140:836-44 e7.

505

506

507 **Table 1.** Characteristics of the Study Population

	Farm (N=156)	Non-farm (N=155)	P value
<b>Maternal Characteristics</b>			
Age (yrs)	30.5 (IQR 6.1)	30.5 (IQR 5.6)	0.78
Education			0.81
High school or less	6%	7%	
Associate degree or some college	31%	28%	
Bachelor's degree	46%	43%	
Graduate degree	14%	19%	
Unknown	3%	3%	
Employed outside of the home	67%	81%	<b>0.008</b>
Smoking			<b>0.003</b>
Never	79%	59%	
Past history	10%	23%	
In year before pregnancy	6%	11%	
During pregnancy	1%	3%	
Unknown	3%	3%	
History of allergic rhinitis (ever)	28%	31%	0.72
History of asthma (ever)	18%	19%	0.95
Consumption of raw farm milk during pregnancy	14%	1%	<b>&lt;0.001</b>
<b>Child Characteristics</b>			
Sex (% male)	55%	43%	<b>0.03</b>
Race/ethnicity			0.35
White	98%	95%	
Other	2%	5%	
C-section	21%	19%	0.59
Daycare attendance in first year	42%	57%	<b>0.007</b>
Ever breastfed	89%	94%	0.32
Visits during the pandemic*	9.3%	4.2%	<b>0.001</b>
<b>Household Characteristics</b>			
Any other children in the household	69%	78%	0.09
Indoor dog	51%	52%	0.82
Indoor cat	38%	35%	0.56
<b>Farm exposure score (postnatal)</b>			<b>&lt;0.001</b>
No farm animal exposure	12%	99%	
Cattle only	41%	1%	
Cattle plus other species exposure	47%	1%	

508 \*Refers to the percent of visits that occurred during the SARS-CoV-2 pandemic (after April 2020).

509 **Table 2.** Exposure to Animals in the First Year of Life and Rates of Respiratory Illnesses  
 510 Through Age Two Years  
 511

Adjustment	Comparison	Rate		P value
		Ratio	95% CI	
Age	Farm vs Non-farm	0.82	0.69 - 0.97	0.020
	Number of farm animal species	.		0.006
	x 0 vs 1	0.86	0.69 - 1.07	.
	x 1 vs 2+	0.82	0.63 - 1.08	.
	x 0 vs 2+	0.71	0.57 - 0.88	.
Model 1 + season and pandemic	Farm vs Non-farm	0.85	0.72 - 0.99	0.043
	Number of farm animal species	.		0.027
	x 0 vs 1	0.93	0.76 - 1.13	.
	x 1 vs 2+	0.81	0.63 - 1.04	.
	x 0 vs 2+	0.75	0.61 - 0.93	.
Model 2 + daycare, breastfeeding, and sex	Farm vs Non-farm	0.89	0.76 - 1.04	0.157
	Number of farm animal species	.		0.101
	x 0 vs 1	0.98	0.81 - 1.18	.
	x 1 vs 2+	0.82	0.64 - 1.04	.
	x 0 vs 2+	0.80	0.64 - 0.98	.

512

513

514 **Table 3.** Cumulative illness burden between farm and non-farm children at 1 and 2 years of life.  
 515

<b>Age Interval</b>	<b>Farm (N)</b>	<b>Non-farm (N)</b>	<b>Rate Ratio (95% CI)</b>	<b>p-value</b>
Birth – 1 Year	31.3 (134)	38.9 (146)	0.81 (0.38, 1.73)	0.58
Birth - 2 Year	81.5 (103)	84.1 (124)	0.97 (0.48, 1.95)	0.93

516

**Figure Legends**

518

519 **FIG 1.** Farm status and rates of respiratory illnesses for the first two years of life. Boxes (A)  
520 represent the average reported illnesses at each visit with 95% confidence intervals. Lines  
521 represent age-specific predicted rates (with a common effect and natural cubic spline for age).  
522 The overall rate ratio is 0.82 (0.69-0.97,  $p=0.02$ ) for the farm vs. non-farm groups; covariate  
523 adjustment had little effect on this ratio (B). Bars are 95% confidence intervals. Each bar  
524 represents a separate model fit with the addition of that covariate.

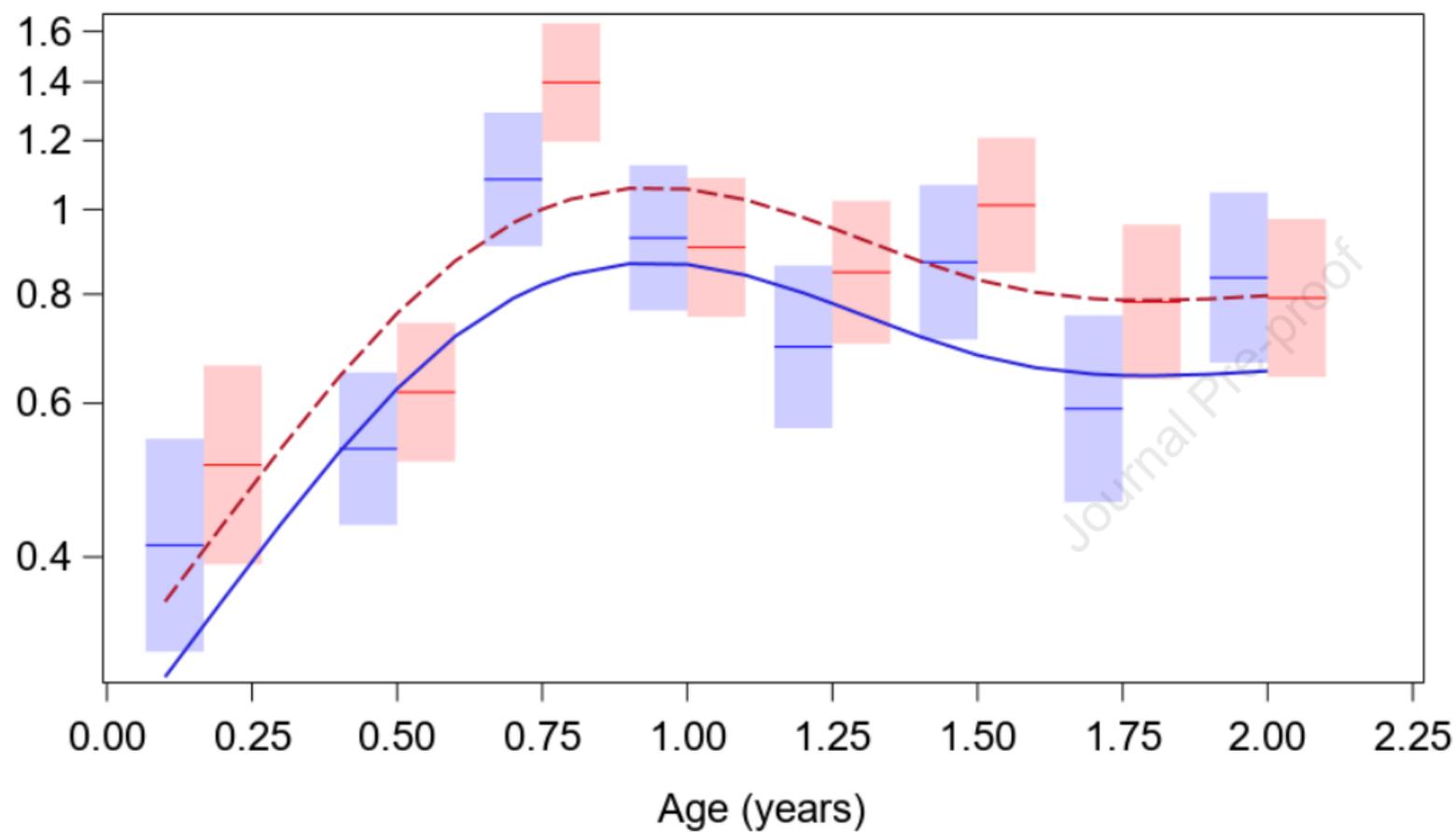
525 **FIG 2.** Farm status and rates of wheezing illnesses for the first two years of life. Boxes  
526 represent average reported illnesses at each visit with 95% confidence intervals. Lines  
527 represent age-specific predicted rates (with a common effect and natural cubic spline for the  
528 period). The rate ratio for the farm vs. non-farm groups is 0.84 (0.43-1.65,  $p=0.61$ ).

529 **FIG 3.** WGCNA expression modules associated with farm differences (adjusted  $p < 0.05$ ). A:  
530 Bars show log fold change of module summary expression value for the comparison between  
531 farm and non-farm, with 95% confidence intervals. B: Significantly overlapping nasal cell type  
532 signatures from Deprez et al,<sup>24</sup> Hallmark gene sets,<sup>25</sup> and gene sets from Altman et al 2021.<sup>26</sup>

533 **FIG 4.** WGCNA expression modules associated with 10+ respiratory illnesses in the first two  
534 years of life compared to fewer than 10 (adjusted  $p < 0.05$ ). A: Bars show log fold change of  
535 module summary expression value for the comparison, with 95% confidence intervals. B:  
536 Significantly overlapping nasal cell type signatures from Deprez et al,<sup>24</sup> Hallmark gene sets,<sup>25</sup>  
537 and gene sets from Altman et al 2021.<sup>26</sup>

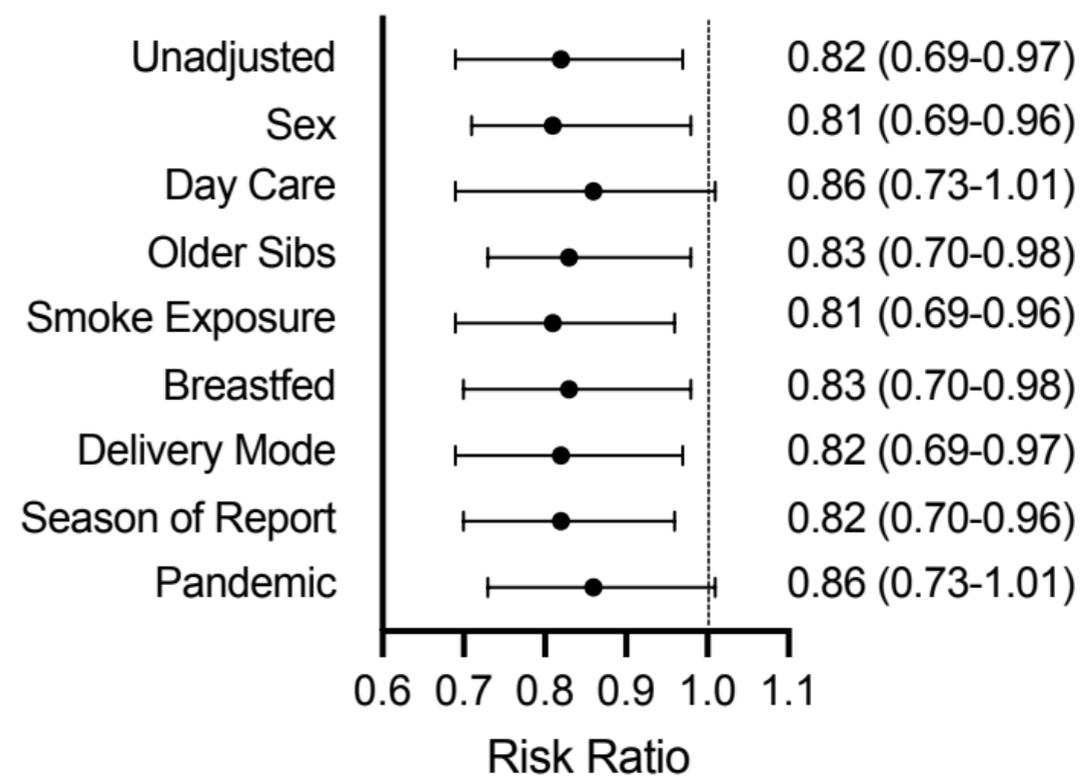
538

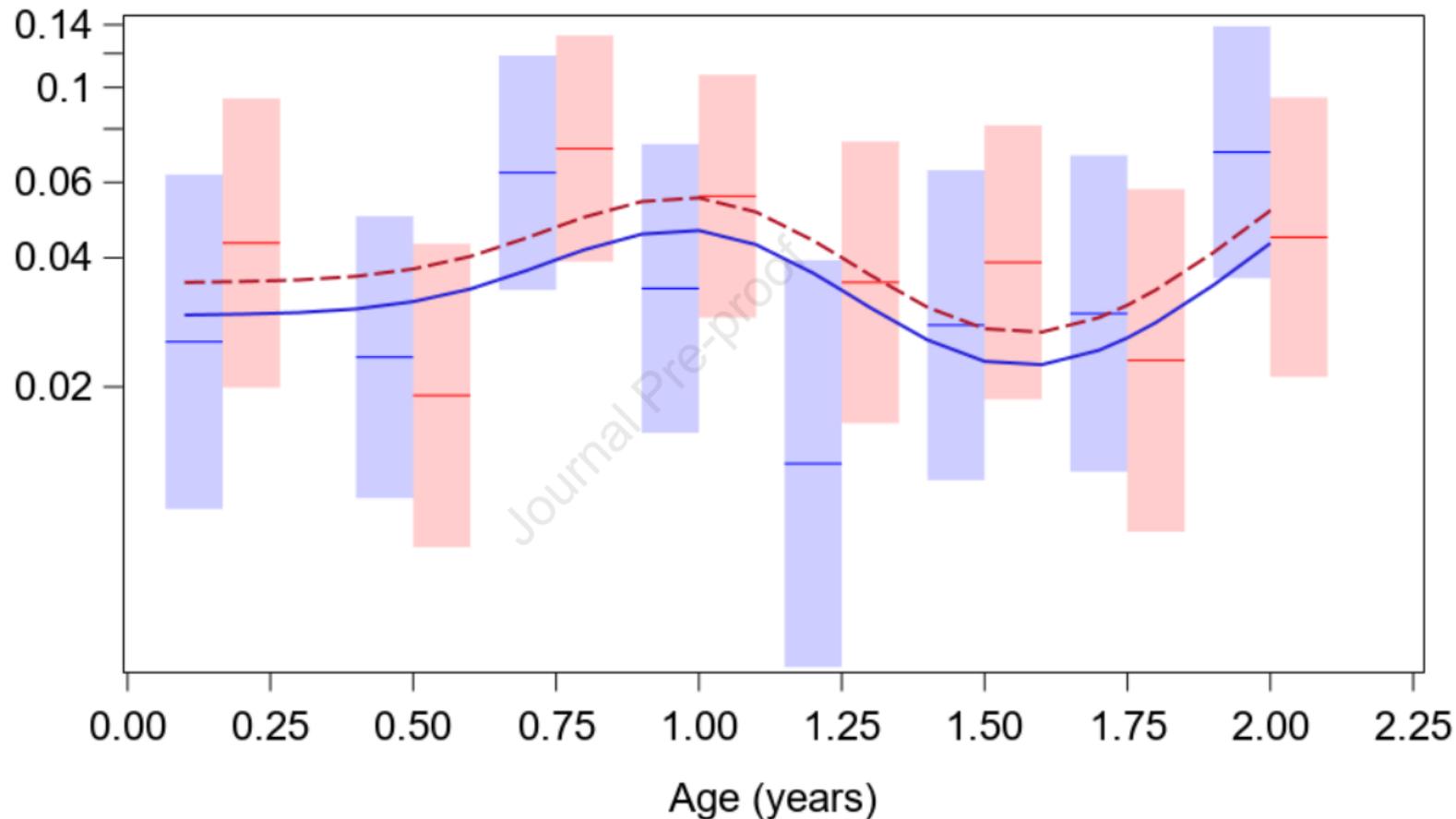
A.

Average Number of Illnesses  
(3 month period)

— 1: Farm    - - - 2: Non-Farm

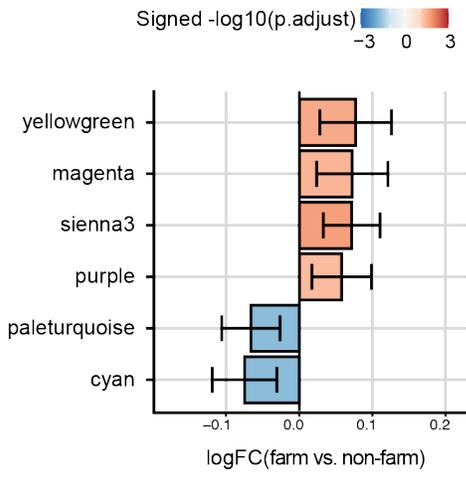
B.



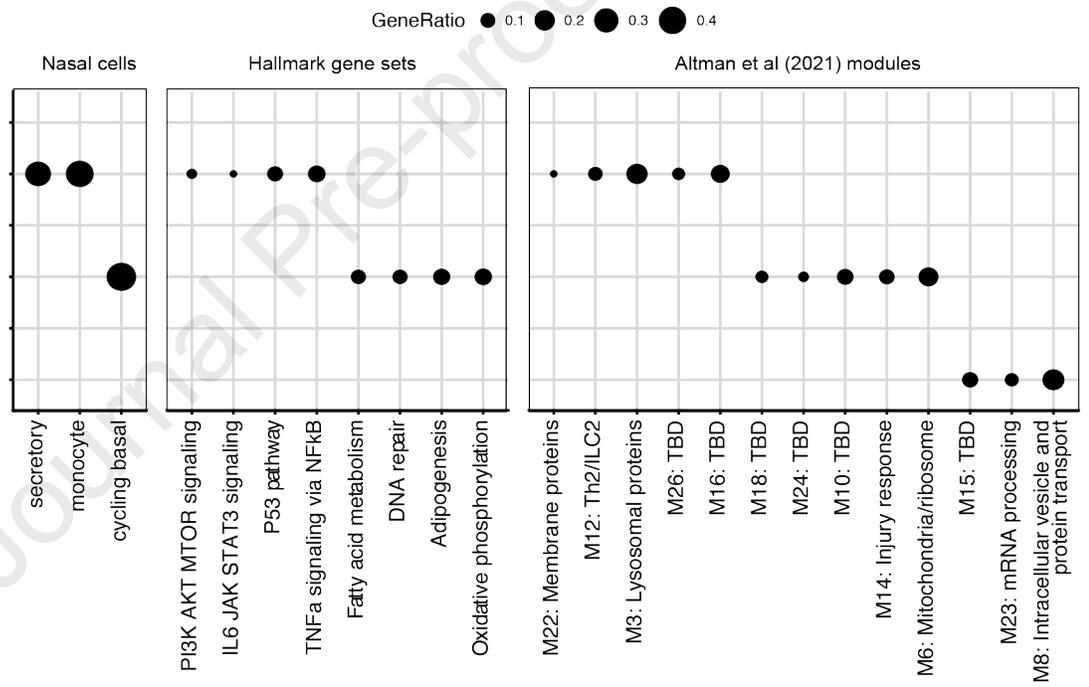
Average Number of Illnesses  
(3 month period)

1: Farm    2: Non-Farm

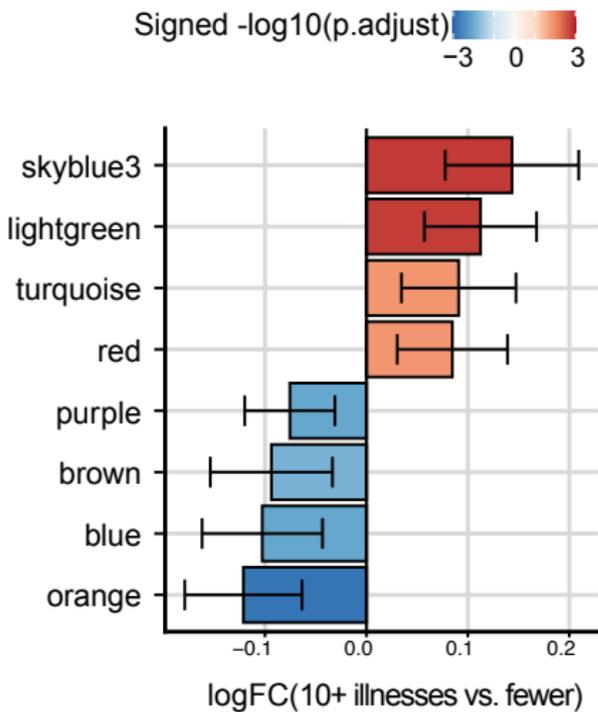
A



B



A



B

